



COPY OF PAPERS
ORIGINALLY FILED

1000779
A
MP#
PATENT #5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Castillo et al.

Examiner:

Serial No.: 10/007,779

Group Art Unit: 1647

Filing Date: 11/30/2001

Attorney Docket No.: PROTEO.P08

Title of Invention: In Vitro Formation of Congophilic Maltese-Cross Amyloid Plaques to Identify Anti-Plaque Therapeutics for the Treatment of Alzheimer's and Prion Diseases

Seattle, Washington 98109
March 20, 2002

Box MISSING PARTS
TO THE COMMISSIONER FOR PATENTS
Washington, D.C. 20231

TRANSMITTAL

Further to our 11/30/2001 application transmittal, Applicant herewith submits the following items to complete the filing requirements (copy of PTO Notice to File Missing Parts attached as required):

1. Combined Declaration and Power of Attorney signed by each inventor;
2. Filing fee (\$370 small entity) and surcharge for deferred filing (\$65 small entity), for a check total of \$435;
3. Revised Abstract (1 p);
4. Sequence Listing and diskette in computer readable form (CRF).

Having complied with all of the filing requirement of 37 CFR 1.53, Applicant respectfully requests that this application be placed upon the files for examination.

Respectfully submitted,

PATRICK MICHAEL DWYER
Reg. No. 32,411

Tel. No. (206) 343-7074
P08MSGPT TRN

PATRICK M. DWYER
PROTEOTECH, INC.
1818 WESTLAKE AVENUE N, SUITE 114
SEATTLE, WA 98109

CERTIFICATE OF MAILING (37 CFR 1.8A)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D C 20231

March 20, 2002

Patrick Dwyer

1-8PCRT INS



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/007,779	11/30/2001	Gerardo Castillo	PROTEO.P08

CONFIRMATION NO. 1128

FORMALITIES LETTER



OC000000007354970

PATRICK M. DWYER
PROTEOTECH, INC.
SUITE 114
1818 WESTLAKE AVENUE N
SEATTLE, WA 98109

COPY OF PAPERS
ORIGINALLY FILED

Date Mailed: 01/24/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/23/2002 JBALINAM 00000034 10007779

FILED UNDER 37 CFR 1.53(b)

01 FC:201 370.00 OP
02 FC:205 65.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 435.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- An Abstract not to exceed 150 words in length, commencing on a separate sheet (37 CFR 1.72(b)).
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a

substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



COPY OF PAPERS
ORIGINALLY FILED

#6
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Castillo et al. Examiner:
Serial No.: 10/007,779 Group Art Unit: 1647
Filing Date: 11/30/2001 Attorney Docket No.: PROTEO.P08
Title of Invention: In Vitro Formation of Congophilic Maltese-Cross Amyloid Plaques to
Identify Anti-Plaque Therapeutics for the Treatment of Alzheimer's and
Prion Diseases

Seattle, Washington 98109
March 20, 2002

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

**TRANSMITTAL & STATEMENT ACCOMPANYING SEQUENCE LISTING
IN COMPUTER READABLE FORM**

Applicants submit herewith a PC formatted floppy disk containing the previously filed Sequence Listing in computer readable form (CFR) and corresponding 2 pages of replacement Sequence Listing sheets for the above referenced Patent Application, now revised as required in the Notice dated 1/24/2002 (copy enclosed).

The content of the sequence listing information recorded in computer readable form is identical to the written sequence listing and includes no new matter.

Applicants believe that they have responded fully to all of the concerns expressed in the Notice. If the Examiner has any further concerns, Applicants requests a call to Applicants' attorney Patrick Dwyer at (206) 343-7074.

Respectfully submitted,

PATRICK MICHAEL DWYER
Reg. No. 32,411

P03-SEQrev.TRN

PATRICK M. DWYER
PROTEOTECH, INC
1818 WESTLAKE AVENUE N, SUITE 114
SEATTLE, WA 98109

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D C 20231

March 20, 2002

Patrick Dwyer

1-8PCRT.INS